Docket No.: BRYPA13.001AUS

JAN 2 1 2005

INFORMATION DISCLOSURE STATEMENT

Malthe-Sorenssen, et al.

App. No

10/656,009

Filed

September 5, 2003

For

METHOD AND DEVICE FOR

VARIABLE OPTICAL ATTENUATOR

Examiner

Ben Loha

Art Unit

2873

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is an Information Disclosure Statement by Applicant (PTO/SB/08 equivalent) listing thirteen (13) references to be considered by the Examiner. Also enclosed are five (5) copies of foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed with an RCE and no fee is required.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Tiffany Miller

Registration No. 52/032

Agent of Record

Customer No. 20,995

(619) 235-8550

Application No. 10/656,009 INFORMATION DISCLOSURE September 5, 2003 Filing Date TEMENT BY APPLICANT First Named Inventor Malthe-Sorenssen, et al. 2873 **Art Unit** Ben Loha (Multiple sheets used when necessary) Examiner BRYPA13.001AUS SHEET 1 OF 1 Attorney Docket No.

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear		
	1	3,835,346	09-10-1974	Mast, et al.			
	2	4,529,620	07-16-1995	Glenn			
	3	4,857,978	08-15-1989	Goldburt, et al.			
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	8	5,867,301	02-02-1999	Engle			

	FOREIGN PATENT DOCUMENTS								
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	9	GB2265024	09-15-1993	United Kingdom					
	10	WO 99/09440	02-25-1999	PCT					
	11	WO 01/48531	07-05-2001	PCT					
•						L			

,	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹				
	12	GUSCHO, Y., Physics of Reliefography, 1992, Moscow, Nauka, Main Editorial Board for Physical & Mathematical Literature. (Originally published in Russian, English translation of §§1, 2.2.3, 7.1-7.9.)					
	13	MAX BORN and EMIL WOLF, Principles of Optics: Electromagnetic Theory of Propagation, Interference and Diffraction of Light (7 th Edition), Oct. 1999, pp. 38-55, Cambridge University Press, United Kingdom.					

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Examiner Signature

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.